

ESF EQUITY, LP
Business Continuity Plan (BCP)

ESF Equity, LP

Business Continuity Planning

Disclosure

ESF Equity, LP. has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting Us – If, after a significant business disruption, you are not able to or contact us, you should call us at +1 (888) 283 3042 or go to our website esfequitylp.com

Our Business Continuity Plan – We plan to quickly recover from any significant business disruption (“SBD”), We plan to safeguard our employees and property, make a rapid financial and operational assessment, protecting the firm’s books and records, and allow our customers to transact business. Our business continuity plan addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternate communications with customers, employees, and regulators; alternate physical location of employees; critical supplier, contractor, bank and counterparty impact; regulatory reporting; and assuring our customers prompt access to their funds and securities if we are unable to continue our business.

Varying Disruptions – Significant business disruptions can vary in their scope, such as only our firm’s office, the city where we are located or the whole region.

Within each of these areas, the severity of the disruption can also vary from minimal to severe. In the event of a significant business disruption, we have plans in place to move to a back-up location or remote locations, as necessary. In either situation, we plan to continue in business.

Disclosure – The Firm’s BCP is designed to address the continued operation of the Firm in the event of an emergency situation or SBD. However, all risks of business

interruption cannot be eliminated and the Firm cannot guarantee that systems will always be available or recoverable following an SBD.

Furthermore, the Firm has no control over and must rely upon the disaster recovery plans of its various critical business constituents and vendors. In the event that material changes are made to this BCP, the Firm will send an updated copy to all of its customers.

The information contained in this disclosure is provided by the Firm for informational purposes only, and nothing contained herein shall be construed to amend, supplement, or otherwise modify any of the terms and conditions set forth in any customer agreement between you and the Firm.

For more information– If you have questions about our business continuity planning, you can contact Burke Ambrose Dambly, the Firm's Chief Compliance Officer at burke@esfequitylp.com